

# **EXHIBIT B**

## **Document Subpoena**

AO 88B (Rev. 02/14) Subpoena to Produce Documents, Information, or Objects or to Permit Inspection of Premises in a Civil Action

## UNITED STATES DISTRICT COURT

for the  
Southern District of Georgia

CHEMTALL INCORPORATED

Plaintiff

v.

BASF SE and BASF CORP.

Defendant

Civil Action No. 4:17-cv-00186-LGW-GRS

SUBPOENA TO PRODUCE DOCUMENTS, INFORMATION, OR OBJECTS  
OR TO PERMIT INSPECTION OF PREMISES IN A CIVIL ACTIONTo: Daniel International Corporation  
c/o John R. Reynolds, Esq., 100 Fluor Daniel Dr, Greenville, SC 29607

(Name of person to whom this subpoena is directed)

☒ **Production:** YOU ARE COMMANDED to produce at the time, date, and place set forth below the following documents, electronically stored information, or objects, and to permit inspection, copying, testing, or sampling of the material: Please see attached Schedule A.

Place: AWR Court Reporting  
33 Market Point Drive, Greenville, SC 29607  
(864) 234-7030

Date and Time:

08/14/2018 9:00 am

☐ **Inspection of Premises:** YOU ARE COMMANDED to permit entry onto the designated premises, land, or other property possessed or controlled by you at the time, date, and location set forth below, so that the requesting party may inspect, measure, survey, photograph, test, or sample the property or any designated object or operation on it.

Place:

Date and Time:

The following provisions of Fed. R. Civ. P. 45 are attached – Rule 45(c), relating to the place of compliance; Rule 45(d), relating to your protection as a person subject to a subpoena; and Rule 45(e) and (g), relating to your duty to respond to this subpoena and the potential consequences of not doing so.

Date: 07/30/2018

CLERK OF COURT

OR

Signature of Clerk or Deputy Clerk

Attorney's signature

The name, address, e-mail address, and telephone number of the attorney representing (name of party) Plaintiff  
Chemtall Incorporated, who issues or requests this subpoena, are:

James W. Dabney, Hughes Hubbard &amp; Reed LLP, One Battery Park Plaza, New York, NY 10004, (212) 837-6803

James.Dabney@hugheshubbard.com

## Notice to the person who issues or requests this subpoena

If this subpoena commands the production of documents, electronically stored information, or tangible things or the inspection of premises before trial, a notice and a copy of the subpoena must be served on each party in this case before it is served on the person to whom it is directed. Fed. R. Civ. P. 45(a)(4).

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Civil Action No. 4:17-cv-00186-LGW-GRS

**PROOF OF SERVICE***(This section should not be filed with the court unless required by Fed. R. Civ. P. 45.)*

I received this subpoena for (name of individual and title, if any) \_\_\_\_\_  
on (date) \_\_\_\_\_.

☐ I served the subpoena by delivering a copy to the named person as follows: \_\_\_\_\_  
\_\_\_\_\_ on (date) \_\_\_\_\_; or

☐ I returned the subpoena unexecuted because: \_\_\_\_\_  
\_\_\_\_\_.

Unless the subpoena was issued on behalf of the United States, or one of its officers or agents, I have also  
tendered to the witness the fees for one day's attendance, and the mileage allowed by law, in the amount of  
\$ \_\_\_\_\_.

My fees are \$ \_\_\_\_\_ for travel and \$ \_\_\_\_\_ for services, for a total of \$ 0.00 .

I declare under penalty of perjury that this information is true.

Date: \_\_\_\_\_

\_\_\_\_\_  
*Server's signature*

\_\_\_\_\_  
*Printed name and title*

\_\_\_\_\_  
*Server's address*

Additional information regarding attempted service, etc.:

**Federal Rule of Civil Procedure 45 (c), (d), (e), and (g) (Effective 12/1/13)****(c) Place of Compliance.**

(1) *For a Trial, Hearing, or Deposition.* A subpoena may command a person to attend a trial, hearing, or deposition only as follows:

- (A) within 100 miles of where the person resides, is employed, or regularly transacts business in person; or
- (B) within the state where the person resides, is employed, or regularly transacts business in person, if the person
  - (i) is a party or a party's officer; or
  - (ii) is commanded to attend a trial and would not incur substantial expense.

(2) *For Other Discovery.* A subpoena may command:

- (A) production of documents, electronically stored information, or tangible things at a place within 100 miles of where the person resides, is employed, or regularly transacts business in person; and
- (B) inspection of premises at the premises to be inspected.

**(d) Protecting a Person Subject to a Subpoena; Enforcement.**

(1) *Avoiding Undue Burden or Expense; Sanctions.* A party or attorney responsible for issuing and serving a subpoena must take reasonable steps to avoid imposing undue burden or expense on a person subject to the subpoena. The court for the district where compliance is required must enforce this duty and impose an appropriate sanction—which may include lost earnings and reasonable attorney's fees—on a party or attorney who fails to comply.

(2) *Command to Produce Materials or Permit Inspection.*

(A) *Appearance Not Required.* A person commanded to produce documents, electronically stored information, or tangible things, or to permit the inspection of premises, need not appear in person at the place of production or inspection unless also commanded to appear for a deposition, hearing, or trial.

(B) *Objections.* A person commanded to produce documents or tangible things or to permit inspection may serve on the party or attorney designated in the subpoena a written objection to inspecting, copying, testing, or sampling any or all of the materials or to inspecting the premises—or to producing electronically stored information in the form or forms requested. The objection must be served before the earlier of the time specified for compliance or 14 days after the subpoena is served. If an objection is made, the following rules apply:

- (i) At any time, on notice to the commanded person, the serving party may move the court for the district where compliance is required for an order compelling production or inspection.
- (ii) These acts may be required only as directed in the order, and the order must protect a person who is neither a party nor a party's officer from significant expense resulting from compliance.

(3) *Quashing or Modifying a Subpoena.*

(A) *When Required.* On timely motion, the court for the district where compliance is required must quash or modify a subpoena that:

- (i) fails to allow a reasonable time to comply;
- (ii) requires a person to comply beyond the geographical limits specified in Rule 45(c);
- (iii) requires disclosure of privileged or other protected matter, if no exception or waiver applies; or
- (iv) subjects a person to undue burden.

(B) *When Permitted.* To protect a person subject to or affected by a subpoena, the court for the district where compliance is required may, on motion, quash or modify the subpoena if it requires:

- (i) disclosing a trade secret or other confidential research, development, or commercial information; or

(ii) disclosing an unretained expert's opinion or information that does not describe specific occurrences in dispute and results from the expert's study that was not requested by a party.

(C) *Specifying Conditions as an Alternative.* In the circumstances described in Rule 45(d)(3)(B), the court may, instead of quashing or modifying a subpoena, order appearance or production under specified conditions if the serving party:

- (i) shows a substantial need for the testimony or material that cannot be otherwise met without undue hardship; and
- (ii) ensures that the subpoenaed person will be reasonably compensated.

**(e) Duties in Responding to a Subpoena.**

(1) *Producing Documents or Electronically Stored Information.* These procedures apply to producing documents or electronically stored information:

(A) *Documents.* A person responding to a subpoena to produce documents must produce them as they are kept in the ordinary course of business or must organize and label them to correspond to the categories in the demand.

(B) *Form for Producing Electronically Stored Information Not Specified.* If a subpoena does not specify a form for producing electronically stored information, the person responding must produce it in a form or forms in which it is ordinarily maintained or in a reasonably usable form or forms.

(C) *Electronically Stored Information Produced in Only One Form.* The person responding need not produce the same electronically stored information in more than one form.

(D) *Inaccessible Electronically Stored Information.* The person responding need not provide discovery of electronically stored information from sources that the person identifies as not reasonably accessible because of undue burden or cost. On motion to compel discovery or for a protective order, the person responding must show that the information is not reasonably accessible because of undue burden or cost. If that showing is made, the court may nonetheless order discovery from such sources if the requesting party shows good cause, considering the limitations of Rule 26(b)(2)(C). The court may specify conditions for the discovery.

(2) *Claiming Privilege or Protection.*

(A) *Information Withheld.* A person withholding subpoenaed information under a claim that it is privileged or subject to protection as trial-preparation material must:

- (i) expressly make the claim; and
- (ii) describe the nature of the withheld documents, communications, or tangible things in a manner that, without revealing information itself privileged or protected, will enable the parties to assess the claim.

(B) *Information Produced.* If information produced in response to a subpoena is subject to a claim of privilege or of protection as trial-preparation material, the person making the claim may notify any party that received the information of the claim and the basis for it. After being notified, a party must promptly return, sequester, or destroy the specified information and any copies it has; must not use or disclose the information until the claim is resolved; must take reasonable steps to retrieve the information if the party disclosed it before being notified; and may promptly present the information under seal to the court for the district where compliance is required for a determination of the claim. The person who produced the information must preserve the information until the claim is resolved.

(g) *Contempt.*

The court for the district where compliance is required—and also, after a motion is transferred, the issuing court—may hold in contempt a person who, having been served, fails without adequate excuse to obey the subpoena or an order related to it.

**SCHEDULE A**

1. As used in this subpoena, the terms “Daniel” or “You” mean and refer to Daniel International Corporation and its corporate predecessors, successors, affiliates, parents, subsidiaries, officers, directors, employees, agents, attorneys, and any other persons acting on their behalf, including without limitation Daniel Construction Company.

2. As used in this subpoena, the term “Portsmouth Facility” means and refers to the manufacturing plant that was built in or near 3340 West Norfolk Road, Portsmouth, Virginia and identified and referred to in Exhibit I hereto.

3. As used in this subpoena, the term “Virginia Chemicals” means and refers to Virginia Chemicals, Inc. and its corporate predecessors, successors, affiliates, parents, subsidiaries, officers, directors, employees, agents, attorneys, and any other persons acting on their behalf, including without limitation Celanese Corporation, Hoechst Celanese Specialty Chemicals Group, Clariant, Alliance Engineering, Inc., BASF AG, and BASF Corporation.

4. As used in this subpoena, the term “Sanyo” means and refers to Sanyo Chemical Industries, Ltd. and its corporate predecessors, successors, affiliates, parents, subsidiaries, officers, directors, employees, agents, attorneys, and any other persons acting on their behalf, including without limitation SDP Global Co., Ltd.

5. As used in this subpoena, the terms “Tidewater Construction” means and refers to Tidewater Construction Corp. and its corporate predecessors, successors, affiliates, parents, subsidiaries, officers, directors, employees, agents, attorneys, and any other persons acting on their behalf, including but not limited to Skanska USA Civil Southeast Inc.

6. As used in this subpoena, the term “BASF” means and refers to BASF SE, BASF Corporation, and their corporate predecessors, successors, affiliates, parents, subsidiaries,

officers, directors, employees, agents, attorneys, and any other persons acting on their behalf.

7. As used in this subpoena, the term “Reed Smith” means and refers to Reed Smith LLP and their corporate predecessors, successors, affiliates, parents, subsidiaries, officers, directors, employees, agents, attorneys, and any other persons acting on their behalf.

8. As used in this subpoena, the term “BASF Litigation” means and refers to the litigation captioned *BASF Corporation v. SNF Holding Co. et al.*, currently Civil Action No. 4:17-cv-00251 in the Southern District of Georgia, formerly Civil Action No. 4:14-cv-02733 in the Southern District of Texas.

#### REQUESTS FOR PRODUCTION

1. All documents concerning, relating, or referring to services performed by Daniel for Virginia Chemicals, Sanyo, or Tidewater Construction in connection with the Portsmouth Facility identified and referred to in Exhibit 1.

2. All documents concerning, relating, or referring to services performed by Daniel for Virginia Chemicals, Sanyo, or Tidewater Construction in connection with the Begonia Project identified and referred to in Exhibit 2.

3. All documents concerning, related to, or referring to the construction of the Portsmouth Facility identified and referred to in Exhibit 1 hereto, including without limitation all such documents concerning, related to, or referring to the construction of polymerization reactor vessels at the Portsmouth Facility.

4. All documents concerning, related to, or referring to the “Begonia Project” identified and referred to in Exhibit 2 hereto, including without limitation all such documents concerning, relating to, or referring to the polymerization reactor vessels identified and referred to in Exhibit 2.

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5. All documents concerning, related to, or referring to polymerization reactor vessels designed, developed, manufactured, constructed, sold, delivered, installed, or operated for the Portsmouth Facility identified and referred to in Exhibit 1.

6. All documents concerning, related to, or referring to polymerization reactor vessels designed, developed, manufactured, constructed, sold, delivered, installed, or operated for the Begonia Project identified and referred to in Exhibit 2.

7. All communications between or among Daniel, on the one hand, and Virginia Chemicals, Sanyo, or Tidewater Construction, on the other hand, concerning, referring to, or relating to the Portsmouth Facility identified and referred to in Exhibit 1, including without limitation (a) all such communications concerning, relating to, or referring to design, development, manufacture, construction, sale, delivery, installation, or operation of the Portsmouth Facility and (b) all such communications concerning, relating to, or referring to polymerization reactor vessels.

8. All communications between or among Daniel, on the one hand, and Virginia Chemicals, Sanyo, or Tidewater Construction, on the other hand, concerning, referring to, or relating to the Begonia Project identified and referred to in Exhibit 2, including without limitation (a) all such communications concerning, relating to, or referring to design, development, manufacture, construction, sale, delivery, installation, or operation of facilities associated with the Begonia Project and (b) all such communications concerning, relating to, or referring to polymerization reactor vessels.

9. Engineering specifications, drawings, or other documents sufficient to show the structure and operation prior to January 31, 1995 of each unit operation that that was designed, developed, manufactured, constructed, sold, delivered, installed, or operated for the manufacture

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of water-soluble polymers (including super-absorbent materials) at the Portsmouth Facility identified and referred to in Exhibit 1 prior to January 31, 1995, including without limitation such documents sufficient to show the structure and operation prior to January 31, 1995 of each polymerization reactor vessel.

10. Engineering specifications, drawings, or other documents sufficient to show the structure and operation prior to January 31, 1995 of each unit operation that that was designed, developed, manufactured, constructed, sold, delivered, installed, or operated for the manufacture of water-soluble polymers (including super-absorbent materials) in connection with the Begonia Project identified and referred to in Exhibit 2 prior to January 31, 1995, including without limitation such documents sufficient to show the structure and operation prior to January 31, 1995 of each polymerization reactor vessel.

11. Records of services provided in connection with the design, development, manufacture, construction, sale, delivery, installation, or operation of the Portsmouth Facility identified and referred to in Exhibit 1 hereto, including without limitation all such records concerning, relating to, or referring to the Portsmouth Facility's polymerization reactor vessels.

12. Records of services provided in connection with the Begonia Project, including without limitation all such records concerning, relating to, or referring to the polymerization reactor vessels identified and referred to in Exhibit 2.

13. All communications with BASF or Reed Smith that refer or relate to this litigation, the BASF Litigation, any subpoena served on Daniel in connection with this litigation or the BASF Litigation, or Daniel's response to any such subpoena.